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Cartier Diamond, Emerald, Black Onyx, White Gold Pendant-Necklace
Sold for $118,750

Cover: 1861 $20 Paquet MS67 PCGS. CAC.
Sold for $7,200,000
Hermès 30cm Shiny Geranium Porosus Crocodile Birkin Bag
Sold for $37,500

Hermès 24cm Shiny Rose Poupée Alligator Double Gusset Constance Bag
Sold for $38,750
Please contact your local representative to discuss how we may help fulfill your estate planning needs.
WHY CHOOSE HERITAGE AUCTION’S TRUSTS & ESTATES

Heritage Auction’s Trusts & Estates department offers services to professional advisors, fiduciaries, executors and beneficiaries for the valuation and disposition of personal assets. Our Specialists understand the unique needs of each estate and can provide all of the services needed to manage collections and guide the transition of personal property from generation to generation. Our Trusts & Estates Specialists will advise on and facilitate the sale and liquidation of estate property, including in many cases the removal of non-consigned general household goods. We will provide the estate with our recommendations of the appropriate sellers in your region for this property or identify local charities for distribution, leaving the premises in broom-clean condition.

Whether it is a single item, a small collection across categories or the contents of an entire estate, Heritage Auctions provides a seamless auction and appraisal experience from the initial consultation through a complete settlement.

Heritage Auctions has dedicated its efforts to building a transparent marketplace trusted by passionate collectors worldwide: art enthusiasts, jewelry lovers, wine connoisseurs, devotees of the rare and wonderful. Though their interests span our 40-plus genre-specific categories, they all have one thing in common: they choose Heritage to buy and sell their treasures.

At Heritage, the focus is always on you.
James Surls (American, b. 1943)
Into the Flowers, 2002
Painted steel, wood
Sold for $106,250
Wayne Thiebaud (b. 1920)
*Blueberry Custard* (detail), 1961
Oil on canvas | Sold for $3,255,000
OUR SERVICES

AUCTION ESTIMATES AND SALES PROPOSALS
Heritage promptly services requests for auction estimates and sales proposals, whether it is for a single item or the contents of an entire estate. Your Heritage Trusts & Estates Specialist begins the valuation process with an on-site or virtual estate consultation in order to determine the scope of the collection and identify the expert best suited to your estate. Your Trusts & Estates Specialist will act as your primary Heritage Auctions contact throughout all stages of the valuation process, advising you on how to sell the property in order to achieve the highest returns.

COLLECTION ADVISORY SERVICES
If your clients are looking to add to or refine their collections, Heritage experts are available to help. Our specialists can work with you or your clients to notify you of pieces and opportunities in our auctions and private sales to meet their goals.

We hold at least two live and online “Signature® Auctions” per year. We also offer weekly and monthly online-only sales, with over 10,200 total auctions held to date.

PRIVATE TREATY SALES
In some cases, a discreet private sale is a desired alternative to a public auction. In partnering with Heritage, you benefit from the expertise and support of our specialists who have direct access to a global group of elite collectors and their “want lists,” bolstering the marketing of your property while it is handled with the utmost privacy and confidentiality.
OUR SERVICES, Continued

VALUATIONS IN THE VAULT
Heritage Auctions’ unique “Valuations in the Vault” concierge service provides complimentary one-on-one private verbal appraisals at the site of a collection. Heritage’s knowledgeable experts will meet with you and your clients to discuss an accurate and current valuation of their collections. Should written appraisals for estate tax purposes, charitable donation or insurance be requested, we will provide the costs and timeline for delivering the necessary USPAP- and IRS-compliant documents. All valuations are done in a private, confidential manner with the clients’ property remaining on secure premises. This unique service will assist you and your clients with any estate and financial planning needs, as well as appropriate insurance valuation.

REMOVAL & STORAGE
Heritage regularly provides assistance in coordinating the shipment of consigned property to appropriate sale locations. In addition to overseeing the removal of the property, we will organize insurance, packing and shipping. Heritage can arrange for competitive bids from local or national firms to ensure the seamless delivery of the estate. We also provide complimentary storage for all property awaiting sale.

INSURANCE
Property consigned to Heritage Auctions is insured solely at Heritage’s expense from the time of possession at a value to be determined by mutual agreement. Our insurance is placed through Lloyd’s of London, and a copy of our insurance policy is available upon request.

EXPERT WITNESS SERVICES
We offer a full suite of Expert Witness services. For more information, please contact a member of our team.

EXPERTISE AND SPEAKING ENGAGEMENTS
We are happy to provide a Trusts & Estates Specialist or an expert from one of our 40-plus categories for special speaker events. Our specialists can advise on any research questions you may have.

LUXURY REAL ESTATE SERVICES
Heritage Auctions provides a highly specialized real estate platform to produce competitive sale prices in less than 90 days. Our global reach and targeted marketing attracts highly-qualified competitive bidders, often setting local price records. Heritage will help your clients monetize their most challenging asset, eliminate carrying costs and deliver results on your schedule.
The Trini Lopez Estate
A Palm Springs Modernist Classic
Sold for $2,090,000
A Rattray & Co. 9K Gold Center Bowl Presented to the Earl & Countess of Strathmore, Dundee, Scotland, circa 1931
Sold for $60,000
APPRAISAL SERVICES

As a separate legal entity, Heritage Auctions Appraisal Services, Inc., offers an experienced team of objective appraisers to evaluate and appraise the tangible property in an estate. Our thorough, illustrated reports are tailored to meet your needs, produced in a timely manner and written in compliance with all IRS, USPAP and insurance standards.

WE PROVIDE FORMAL APPRAISALS FOR THE PURPOSES OF:

- Estate Tax
- Equitable Distribution
- Insurance
- Gift Tax
- Estate Planning
- Collateral Loan
- Charitable Contribution
- Trusts/Conservatorships
- Financial Planning

OUR CUSTOMIZED SERVICES INCLUDE:

- Confidential consultations to determine the scope of work for the appraisal
- On-site and virtual evaluations
- Assistance with third-party authentication and grading services
- Timely delivery of appraisal document as agreed to meet time and filing requirements

APPRAISAL FEES

Our competitive appraisal fees are based on an hourly or daily rate per specialist, plus travel expenses when applicable. Once the appraisal assignment is completed, Heritage will provide a complimentary selection for sale upon request. Should you decide to sell the property through an auction or a private treaty sale, Heritage Auctions will rebate the appraisal fee in full or on a prorated basis against the seller’s commission.
OUR AREAS OF EXPERTISE

NUMISMATICS
- U.S. Coins
- U.S. Currency
- World & Ancient Coins
- World Paper Money

COLLECTIBLES
- Animation Art
- Comics & Comic Art
- Concert Posters
- European Comic Art
- Hollywood & Entertainment Memorabilia
- International Comic Art & Anime
- Movie Posters
- Music Memorabilia
- Sports Collectibles
- Stamps
- Trading Card Games
- Video Games
- Vintage Guitars & Musical Instruments

SPECIALTY SERVICES
- Trusts & Estates
- Appraisals

HISTORICAL
- Americana & Political
- Arms and Armor
- Civil War & Militaria
- Historical Manuscripts
- Judaica
- Rare Books
- Space Exploration

LUXURY LIFESTYLE
- Fine Jewelry
- Handbags & Luxury Accessories
- Luxury Real Estate
- Rare & Fine Wine
- Watches and Timepieces

FINE & DECORATIVE ARTS
- American Art
- Art Deco & Art Nouveau
- Asian Art
- California Art
- Design
- Ethnographic Art
- European Art
- Fine & Decorative Arts
- Illustration Art
- Modern & Contemporary Art
- Nature & Science
- Photographs
- Prints & Multiples
- Silver & Objects of Vertu
- Texas Art
- Tiffany, Lalique & Art Glass
- Urban Art
- Western Art
1982-83 Michael Jordan Game Worn
University of North Carolina Tar Heels Jersey
from First “NCAA Player of the Year”
Sold for $1,380,000
Heritage Auctions is the largest fine art and collectibles auction house founded in the United States, and the world’s largest collectibles auctioneer. Heritage offers over 40 unique collecting categories, and we continue to find ways to innovate and respond to our global client network.

Aggressive marketing strategies, personalized customer service and a top-rated website are vital tools in delivering dramatic, tangible results for our consignors. Coupled with easy access to our top fine art and collectible experts, Heritage Auctions delivers state-of-the-art auction services.
Rolex, Very Fine and Rare 14k Gold Ref. 6241 “Paul Newman” Cosmograph Daytona “John Player Special,” Circa 1969
Sold for $804,500
<table>
<thead>
<tr>
<th>Heritage by the Numbers</th>
<th>Always accepting quality consignments in 40+ auction categories</th>
<th>63% of total 2020 sales were from online sources ($532 million)</th>
</tr>
</thead>
<tbody>
<tr>
<td>Heritage ranks as the #1 largest auction house founded in the United States</td>
<td>1.4 billion+ in total sales in 2021</td>
<td>94.2% of our consignments come from repeat consignors</td>
</tr>
<tr>
<td>10,200+ auctions held to-date</td>
<td>371,000+ consignments sold successfully, with full, timely payment to every consignor</td>
<td>Heritage’s free Auction Archives contain 5.7 million lots</td>
</tr>
<tr>
<td>1.5 million+ online bidder-members from all 195 countries</td>
<td>On average, HA.com receives, 79,000+ unique visits per day</td>
<td>29.1 million annual visits to our award-winning website HA.com</td>
</tr>
<tr>
<td>A proven record of selling $10 billion+ in fine art and collectibles for more than 66,000 consignors since 1976</td>
<td>Heritage’s award winning <em>Intelligent Collector</em> e-magazine has a digital circulation of 100,000+ readers per issue</td>
<td>HA.com ranked in the top .007% of most trafficked websites out of 360 million+ sites currently online.</td>
</tr>
</tbody>
</table>

December 2021
The Beatles 1966 Genuine Shea Stadium NY Concert Poster
Sold for $150,000

Batman #1 (DC, 1940) CGC NM 9.4
Sold for $2,220,000
On average, more than 79,000 collectors worldwide visit HA.com every day to view and bid — the highest web traffic of any auction house (source: SimilarWeb.com). Our award-winning and U.S. patented Heritage Live® web software allows bidders to participate in live floor auctions from the comfort of their homes or offices. As a research tool, HA.com offers complimentary access to prices realized for nearly 6 million past auction lots, along with advice from top experts across all numismatic and collectibles categories. HA.com is a robust database and marketplace, cultivated by collectors and researchers worldwide.

Securing the personal data of our 1.5 million+ bidder-members from cyber theft is a priority at Heritage Auctions. In fact, HA.com has an “A” rating for network security from Qualys®, ranking Heritage significantly higher than our largest competitors.

You don’t want to miss this site! It’s amazing!
— The New York Times’ About.com
A team of marketing and creative professionals supports the sale of your consignment through campaigns across multiple touch points including advertising in top industry publications, direct mailings to targeted collectors, the HA.com website, auction catalogs and social media. Heritage invests more than $20 million annually to promote auctions and key items in order to reach the maximum number of bidders. Our thoughtful campaigns, paired with our media connections, will ensure the successful sale of your consignment.

Our public relations specialists are dedicated to cultivating significant pre- and post-auction coverage through maximum exposure from our worldwide network of the largest global media outlets, social influencers, reporters and editors. In 2020 we received more than 110,000 high-profile press and media mentions worldwide.

Heritage regularly receives press coverage of its auctions from media outlets including:

- *The New York Times*
- *Los Angeles Times*
- *USA Today*
- Yahoo
- *Time Magazine*
- *The Canadian Press*
- Deutsche Presse Agency
- Kyodo News Service
- Bloomberg News
- Reuters
- CNN
- *NBC*
- Fox News
- *Chicago Tribune*

“Heritage enjoys the highest online dollar volume of any auction house on earth.”

– *Hiscox Online Art Trade Report*

Larva Labs (Est. 2005)
*CryptoPunk 6503*
Non-fungible token
24 x 24 pixels
Ed. 1/1
Sold for $362,500
As a company that has been holding online auctions long before many of its competitors, Heritage Auctions understands the power and importance of digital storytelling to generate business. Platforms such as Twitter, Facebook, Instagram, and LinkedIn allow us to engage with a global audience of consignors and clientele. Our followers receive the most up-to-date information on upcoming auctions, a first look at new auction lots, and unprecedented insight from Heritage Auctions’ specialists. Whether you are interested in rare coins, fine art, or sports memorabilia, our social platforms cover a diverse range of categories that satisfy any collector’s interests. Our talented Public Relations team reaches a repeat potential audience of more than 25 million readers, listeners, viewers and mobile news consumers.
NOTABLE ESTATES & COLLECTIONS

CORPORATE CONSIGNORS
7-Eleven
Bank of America
Playboy Enterprises
Random House
The Neiman Marcus Collection
Time-Warner/AOL

GOVERNMENT AND INSTITUTIONAL PARTNERS
American Red Cross
Deposit Insurance Corporation
Federal Trade Commission
Internal Revenue Service
Library of Congress
St. Jude Children’s Research Hospital

UNIVERSITIES AND MUSEUMS
Amon Carter Museum of American Art
Art Institute of Chicago
Columbia University
Dallas Museum of Art
Los Angeles County Museum of Art
Millport Conservancy – Franklin & Marshall College
National Baseball Hall of Fame and Museum
National Portrait Gallery
Rock and Roll Hall of Fame Museum
Smithsonian Institution
Stanford University
Texas A&M University
Toledo Museum of Natural History

CELEBRITY COLLECTIONS AND ESTATES
Buddy Holly
James Naismith
Jane Fonda
John Wayne
Lana Marks
Malcom Forbes
Max Factor
Neil Armstrong
Nicholas Cage
Nicole Miller
Peter Fonda
Shirley Temple
Stan Lee
Sylvester Stallone
John Steinbeck
Whitney Houston
Zsa Zsa Gabor
John Steinbeck
The first two of three auctions featuring lots from the Neil Armstrong Family Collection™ realized over $7 million.
SIGNATURE® AUCTION CATALOGS

Collectors eagerly anticipate each of our award-winning auction catalogs, produced in-house and lauded for expertly written lot descriptions and top-quality photographs. Each book serves as a collectible auction catalog and reference for scholars, students and collectors.

THE INTELLIGENT COLLECTOR

The Intelligent Collector is the award-winning e-magazine of Heritage Auctions, with a distribution of more than 100,000 to important clients, dealers, professional advisors and institutions. Each monthly issue features current works, collections sold through Heritage and thought-provoking collector interviews and content. The e-magazine showcases the world's most enduring objects of value and people who collect them.

TRUSTS & ESTATES PUBLICATIONS

The Heritage Auctions Journal is produced biannually and designed to provide up-to-date news and commentary on estate planning topics, trust and estate management and collection advisory. To receive a complimentary copy of The Heritage Auctions Journal please email HeritageEstates@HA.com.

The Collector’s Handbook will help you protect your investment and includes the most recent federal tax law changes and new information on collectibles and charitable planning. Whatever your motivations for collecting, this book will help make you a more intelligent collector.
## LOCATIONS

<table>
<thead>
<tr>
<th>LOCATION</th>
<th>ADDRESS</th>
<th>Phone Numbers</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>DALLAS</strong></td>
<td>2801 W. Airport Freeway</td>
<td>214.528.3500</td>
</tr>
<tr>
<td></td>
<td>Dallas, Texas 75261-4127</td>
<td></td>
</tr>
<tr>
<td></td>
<td>445 Park Avenue</td>
<td>212.586.3500</td>
</tr>
<tr>
<td></td>
<td>New York, New York 10022</td>
<td></td>
</tr>
<tr>
<td><strong>BEVERLY HILLS</strong></td>
<td>9478 West Olympic</td>
<td>310.492.8600</td>
</tr>
<tr>
<td></td>
<td>Beverly Hills, California 90212</td>
<td></td>
</tr>
<tr>
<td><strong>CHICAGO</strong></td>
<td>222 West Hubbard Street</td>
<td>312.260.7200</td>
</tr>
<tr>
<td></td>
<td>Chicago, IL 60654</td>
<td></td>
</tr>
<tr>
<td><strong>BRUSSELS</strong></td>
<td>Leuvenseesteenweg 509</td>
<td>+32-(0)2-2040140</td>
</tr>
<tr>
<td></td>
<td>1930 Zaventem, Belgium</td>
<td></td>
</tr>
<tr>
<td><strong>NEW YORK</strong></td>
<td>445 Park Avenue</td>
<td>212.586.3500</td>
</tr>
<tr>
<td></td>
<td>New York, New York 10022</td>
<td></td>
</tr>
<tr>
<td><strong>PALM BEACH</strong></td>
<td>250 Royal Palm Way</td>
<td>561.693.193</td>
</tr>
<tr>
<td></td>
<td>Palm Beach, Florida 33480</td>
<td></td>
</tr>
<tr>
<td><strong>AMSTERDAM</strong></td>
<td>Energieweg 7, 3401 MD</td>
<td>+31-(0)30-6063944</td>
</tr>
<tr>
<td></td>
<td>IJsselstein, Nederlands</td>
<td></td>
</tr>
<tr>
<td><strong>LONDON</strong></td>
<td>6 Shepherd Street</td>
<td>+44 (0)207 493 0498</td>
</tr>
<tr>
<td></td>
<td>London, Mayfair W1J 7JE, UK</td>
<td></td>
</tr>
<tr>
<td><strong>HONG KONG</strong></td>
<td>Unit 1105, 11/F</td>
<td>+852-2155 1698</td>
</tr>
<tr>
<td></td>
<td>Tower One, Lippo Centre</td>
<td></td>
</tr>
<tr>
<td></td>
<td>89 Queensway Road, Hong Kong</td>
<td></td>
</tr>
</tbody>
</table>
My grandmother Elaine Steinbeck, wife of author John Steinbeck, had amassed two storage units full of memorabilia and personal belongings that had been sitting in storage for nearly a decade. Our family turned to Elyse Luray at Heritage Auctions, who oversaw every aspect of the consignment process from storage removal to cataloguing and promotion, up until auction day. She made it quick and easy and our auction was a great success. I don’t know what our family would’ve done without Heritage Auctions.

— Bahar Kaffaga, John Steinbeck’s Grandson