

Instructions for dealer application:

Please complete and sign the dealer application; we will need all the information in order to have a credit decision and make the proper changes to your account in a timely manner.

The first page is the standard credit form, for personal information, banking information and three dealer references in a related collectibles field. Please use the top section for the primary person on the account and the additional principal section for other partners or owners.

The second form is the bank reference form. It will expedite the process if you would take this form directly to your personal banker and request them to complete and fax back to the "reply to" fax number on the form.

The third form is the resale certificate. Please complete all blanks and sign the form and return with a legible copy of your state issued tax exemption license or permit. If you are in New York please also include a signed copy of the ST-120 required for that jurisdiction.

Lastly, is the personal guarantee which allows us to release material to your business on the extended terms contract. This form must be notarized and is required for open delivery if your company is a corporation, partnership or LLC.

HERITAGE DEALER APPLICATION
Return Via Fax: 1.214.409-2402 or Email CreditLines@HA.com

Date _____ Amount Requested \$ _____ Salesperson _____ Auction _____

Please tell us what prompted you to contact Heritage? _____

PERSONAL INFORMATION

Name _____ Heritage Customer # (if known) _____
Home Address (No PO Boxes) _____
City _____ State _____ Zip _____
Home Telephone () _____ (eve) Social Security Number _____ - _____ - _____
Fax () _____ email _____ Website _____

BUSINESS INFORMATION

Company Name _____ Position _____
Address (No PO Boxes) _____
City _____ State _____
Zip _____ Telephone () _____, ext. _____ (daytime) Fax () _____
e-mail _____ Website _____
Federal ID Number _____ Tax Resale Number _____
Type of Business _____ Partnership Sole Owner Sub-Chapter S Corp. Corporation
Names Of Any Subsidiary/Parent/Affiliated Companies _____

Additional Principals Of The Company

Name _____ Position _____
Home Address _____ City _____ State _____ Zip _____
Home Telephone () _____ Social Security Number _____ - _____ - _____
(If more than one Additional Principals of the Company, please attach sheet with information for each individual.)

BANK REFERENCE

Bank _____ Acct # _____ (personal or business)
Address _____ City _____ State _____ Zip _____
Telephone () _____ Fax () _____ Contact Person _____

DEALER REFERENCES

Please list **three dealers or auction companies** with which you have recent purchase or bidding history.

Company Name _____ Telephone () _____
Address _____ City _____ State _____ Zip _____
Contact Person _____ Recent High Credit _____

Company Name _____ Telephone () _____
Address _____ City _____ State _____ Zip _____
Contact Person _____ Recent High Credit _____

Company Name _____ Telephone () _____
Address _____ City _____ State _____ Zip _____
Contact Person _____ Recent High Credit _____

I certify that the above statements are accurate and hereby authorize Heritage to obtain information, including credit reports, investigate and re-verify periodically to update account, and the above references to release information to Heritage. If this application is being executed and forwarded by facsimile to Heritage by the maker, maker's facsimile signature shall be effective for all purposes as an original signature. In the event credit is extended to me or my company, I agree to pay interest on the account at the lesser of 1.5% per month (18% per annum) or the maximum contract interest rate under applicable state law.

The undersigned party personally guarantees any credit of the applicant.

Signature on behalf of applicant and personally as guarantor

Date

Print Name

The parties agree that all disputes in any way relating to, arising under, connected with, or incident to this credit application or any extension of credit granted by Heritage Capital Corporation, its subsidiaries and affiliates, ["Credit"], shall be litigated, if at all, exclusively in the applicable State or Federal Courts of Dallas County, Texas. The parties also agree that Texas law exclusively shall govern all terms of the "Credit", including this paragraph. The parties expressly submit themselves to the personal jurisdiction of the State of Texas. (revised: 5/27/2014)

HERITAGE CAPITAL CORPORATION
3500 Maple Avenue, 17th Floor, Dallas TX 75219-3941
Reply via **Fax: 214 409-2402** or Email **CreditLines@HA.com**

AUTHORIZATION FOR RELEASE OF BANK INFORMATION

Dear Applicant: This form is part of our investigation procedure. Please forward to the bank holding your account(s). All such information shall be kept in the strictest confidence. Please complete the sections above the dotted line.

Name on Account _____ (personal or business) _____
Account Address _____ City _____ State _____ Zip _____
Authorized Signature(s) _____ Date: _____
Print Name and Title _____ Acct #(s) _____
Bank Name _____ Telephone () _____
Bank Address _____ City _____ State _____ Zip _____

BANK RATING INFORMATION

Fax () _____ Attn _____ Reply via **Fax: 214 409-2402**

Dear Banker:

The client shown above has applied to Heritage Capital Corporation [HCC], its subsidiaries and affiliates, for credit and has authorized release of banking information. Please provide the information requested below and return to HCC. In order to provide the best possible service to our mutual customer, the courtesy of a **same day reply is requested**, if possible. Thank you for your assistance.

Sincerely,

Marti Korver, Credit Manager
1-800-872-6467, ext 1248

Depository Accounts: # _____

Date Opened: _____ Average balances: _____ if closed, when?
_____ High Balance: _____ Aggregate balance used? Yes No

Experience and comments: _____

Loan Arrangements:

	<u>High</u>	<u>Present</u>	<u>Loan Experience</u>
Unsecured	_____	_____	Satisfactory
Secured	_____	_____	Unsatisfactory
Mortgage	_____	_____	Remarks : _____
Installment	_____	_____	_____
Line of Credit	_____	_____	_____

Verified By _____
Name Title Date

Heritage Auctions

RESALE CERTIFICATE

Purchaser/

Business Name: _____

Address: _____

City: _____ State: _____ Zip: _____

Phone: _____

I HEREBY CERTIFY, that I hold valid seller's permit NO. _____ in the **STATE** of _____ issued pursuant to the Sales and Use Tax Law of that State; that I am engaged in the business of selling _____; that the tangible personal property described herein which I shall purchase from **HERITAGE** will be resold by me in the form of tangible personal property to purchasers not located in the state in which I take delivery, **except for** buyers who (a) provide a valid resale certificate in such state in which I take delivery, or (b) pay appropriate sales tax in, or provide a valid resale certificate from, the State of issue of my seller's permit; **PROVIDED, HOWEVER**, that in the event any of such property is used for any purpose other than retention, demonstration, or display while holding it for sale in the regular course of business, it is understood that I am required by the Sales and Use Tax Law to report and pay the tax, measured by the purchase price of such property.

Description of property to be purchased:

- | | |
|---------------------------------------------------------------|-----------------------------------------------------------------------|
| <input type="checkbox"/> ART & ANTIQUES | <input type="checkbox"/> HISTORICAL |
| <input type="checkbox"/> COINS | <input type="checkbox"/> STAMPS |
| <input type="checkbox"/> COMICS | <input type="checkbox"/> JEWELRY, TIMEPIECES & LUXURY |
| <input type="checkbox"/> CURRENCY | <input type="checkbox"/> MOVIE POSTERS |
| <input type="checkbox"/> SCIENCE & NATURE | <input type="checkbox"/> BOOKS & HISTORICAL MANUSCRIPTS |
| <input type="checkbox"/> SPORTS COLLECTIBLES | <input type="checkbox"/> MUSIC & ENTERTAINMENT MEMORABILIA |
| <input type="checkbox"/> WINE | <input type="checkbox"/> COMIC ART & ANIMATION |
| <input type="checkbox"/> OTHER: (please specify) _____ | |

Print names of authorized purchaser(s):

By: _____ Title: _____ Date: _____
(Signature of owner or authorized employee or agent of Purchaser)

**A VALID STATE ISSUED SELLER'S PERMIT MUST ACCOMPANY THIS SIGNED FORM IN ORDER TO QUALIFY FOR
TAX EXEMPT DEALER STATUS.**

FORM & SUPPORTING DOCUMENTS MAY BE FAXED TO: 214-409-2103

OR EMAILED TO RESALE@HA.COM

Heritage Auctions • 3500 Maple Avenue • Dallas, Texas 75219

GUARANTY

FOR GOOD CONSIDERATION, and as an inducement for **Heritage Capital Corporation, its subsidiaries and affiliates** (Creditor), to extend credit to _____ or any other entity that Guarantor is associated with (Customer), it is hereby agreed that Guarantor does hereby guaranty to Creditor the prompt, punctual and full payment of all monies now or hereinafter due (for example, any account receivable, invoice, auction bid, collectible sale or delivery and any note, check or other payment on any account receivable contracted by Customer) from Customer, or Guarantor, or Customer's employees and agents.

Until termination, this guaranty is unlimited as to amount or duration and shall remain in full force and effect notwithstanding any extension, compromise, adjustment, forbearance, waiver, release or discharge of any party obligor or Guarantor, or release in whole or in part of any security granted for said indebtedness or compromise or adjustment thereto, in whole or in part, without releasing, extinguishing, or affecting in any manner whatsoever the liability of Guarantor, the foregoing acts being hereby consented to and Guarantor waives all notices thereto.

The obligations of Guarantor shall be at the election of Creditor, shall be primary and not necessarily secondary, and Creditor shall not be required to exhaust its remedies against Customer prior to enforcing its rights under this guaranty against Guarantor.

The guaranty hereunder shall be unconditional and absolute and Guarantor waives all rights of subrogation, recoupment, and set-off until all sums under this guaranty are fully paid. Guarantor further waives all suretyship defenses or defenses in the nature thereof, generally including, but not limited to, the validity, regularity, or enforceability of the monies and accounts, or the discharge or modification thereof in any insolvency of bankruptcy proceeding relating to Customer.

In the event payments due under this guaranty are not punctually paid upon demand, then Guarantor shall pay all reasonable costs and attorneys' fees necessary for collection, and enforcement of this guaranty.

The guaranty may be terminated by any Guarantor upon fifteen (15) days written notice of termination, mailed certified mail, return receipt requested to the Creditor. Such termination shall extend only to credit extended beyond said fifteen (15) day period and not to prior extended credit, or goods in transit received by Customer beyond said date, or for special orders placed prior to said date, notwithstanding date of delivery. Termination of this guaranty by any Guarantor shall not impair the continuing guaranty of any remaining Guarantors of said termination.

Guarantor warrants and represents it has full authority to enter into this guaranty. This guaranty shall be binding upon and inure to the benefit of the parties, their successors, assigns and personal representatives. This guaranty shall be construed and enforced under the laws of the State of Texas.

Signed this ____ day of _____, 20____.

GUARANTOR:

Acknowledgement

State of _____

County of _____

Before me, a Notary Public, on this day personally appeared _____, known to me (or proved to me on the oath of _____) to be the person whose name is subscribed to the forgoing instrument and acknowledged to me that he executed the same for the purpose and consideration therein expressed.

Given under my hand and seal of office this ____ day of _____, 20____.

(NOTARY SEAL)

Notary Public, State of _____